

Web State Diagram for IF Operations

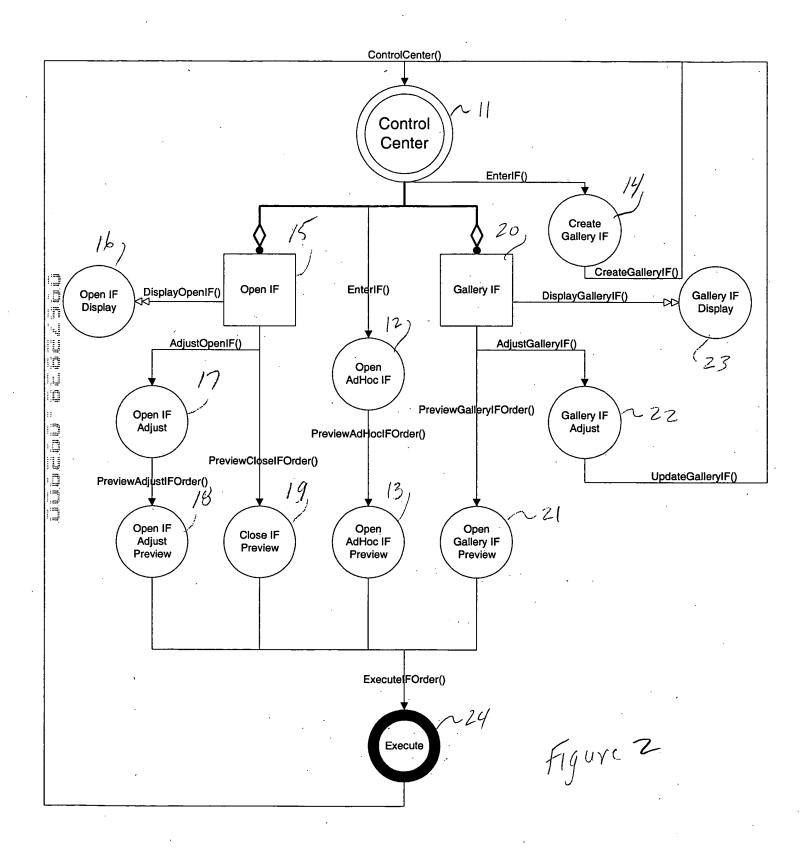


Figure 3

[unx.com]/account selection

Account ID

Type

Status

Customer ID

Customer Name

Access Level

100026

Cash

Open

100013

. UNX.COM, Inc.

Inquiry

Figure 4 Page 1 of 2

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[unx.com]/control center

Account:

Customer: UNX.COM, Inc. (100013)

 $\psi \leqslant \bigcirc$ Auto Update \square

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\$10,102.00

Zh Z \$0.00

> \$491,146.93 Long Stock Value \$491,146.93 Short Stock Value

\$491,146.93 Available Funds

Account Balance Account Value

control

587

Account Summary

\$501,248.93 Buying Power

Close Close

7

\$254.87

Gain/Loss

NAV 72.1571 Current \$10,102.00

NAV

Status

Date

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4% Adjust Name

Open IF Positions

gallery IF

29

open IF

70.3366

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06/12/2000

1564

Tech Trio

Adjust

account history

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asset search

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IF Gallery

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W - Working

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Open

\$200,000.00

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[unx.com]/control center

Gallery IFs are staged for fast execution but do not represent open positions. Click on an IF Name to display details.

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06/16/2000

[unx.com]/enter IF

Flywire 5

UNX.COM, Inc. (100013)

Customer: Account:

100026

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[unx.com]/enter II

Open IF Position

Dollar Amount:

IF Name:

10000.000

ShareThreshold:

Buy or Sell:

Asset Weighting:

account history

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Buy 💽 Dollar

98

NAV (Net Asset Value) 🔝

Individual Fund Index Type:

96~ Odd Lots OK

Lot Size:

Symbols to Order (separated by spaces, commas or returns):

csco ibm

Jser Defined IFs must have share quantities or composition percentages

following each symbol.

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[unx.com]/order preview

Account:

UNX.COM, Inc. (100013) **Customer:** Hqure, 6

create gallery IF

accounte history

open-IF position

Open IF Order Preview

Preview generated at: 06/16/2000_11:16:31 Pacific Daylight Time

Current Price	•		84.9968
B/S	В	8	Open
Quantity	74	42	116
Symbol	csco	· MBI	JR

\$4,971.88 \$4,887.75 \$9,859.62

Market Value

optimized for rapid order execution. Once submitted you will not be able to cancel this order. This is your Warning: Executing this order will submit orders for each asset listed in the quantities specified. The last chance to cancel the above order. This order is not pending and will not be executed unless you pricing information displayed is provided as a base approximation only. The price that your order will actually be executed at may vary widely from these figures. The FLEXII execution system is highly



click the Execute Order button below.



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[unx.com]/execution status

Account: 100026

stomer: UNX.COM, Inc. (100013)

control

IF Order Executing

open IF. position create gallery IF

account history asset search

Your order has been submitted for execution.

You should receive an order confirmation by email shortly. You may also navigate to the Account Summary page to view your current order status.

Your Individual Fund Order ID is: 1695

Account Summary

log-out

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Randy Abernethy

FLEXII@UNX.COM From: Sent:

To: Subject:

Thursday, June 22, 2000 11:37 AM

ITAlert@unx.com, randy@unx.com,irene@unx.com Confirmation for IF: 1695

IF Trade Confirmation

Universal Network Exchange, LLC. 100026 (26) UNX.COM, Inc. Open 🖈 100013 Account ID: Customer Name: Customer ID: Contact ID: Trade Date: Position: Broker: ガガ Ξ

06/27/2000 🥕 06/22/2000-Settle Date: EMail Date:

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ITAlert@unx.com, randy@unx.com,irene@unx.com 06/22/2000 10:36:35 Pacific Daylight Time 🎬

EMail List:

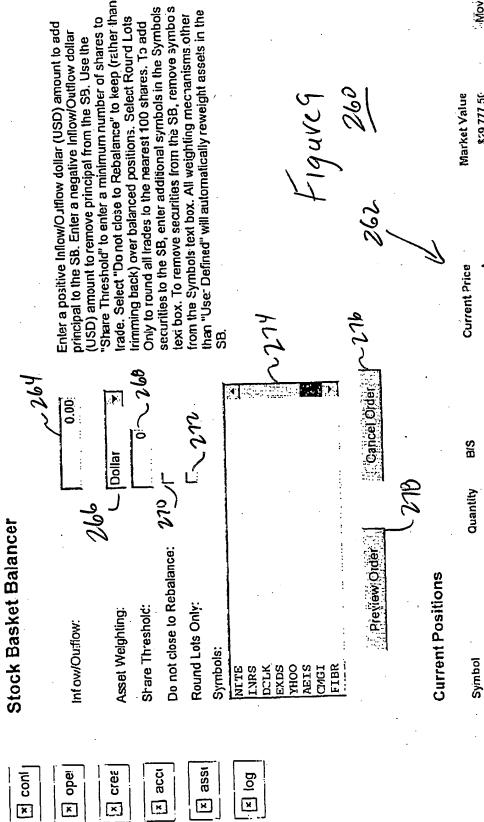
Net Money	11,410.00 52,520.00	22,635.00	101,425.00
Net	11,	22,	101,
Fee	00.00	0.00	00.0
Comm	10.00	10.00	50.00 0.00
Principal	11,400.00 52,500.00	22,625.00	101,375.00
Price	57.0000 65.6250	113.1250	1500 67.5833
Shares	800	200	1500
Side	18 BUY 18 BUY	18 BUY	
Time	10:35:48 10:35:48	00101 10:35:48	*
CUSIP	02364J104 10:35:48 17275R102 10:35:48 247025109 10:35:48	459200101	
Symbol Description	AMERICA ONLINE INC. CISCO SYSTEMS, INC. DELL COMPUTER CORPORATION	TBM	RBHot
Symbo]	AOL CSCO DELL	IBM	₹
£ i	63223 63221 63224	63222	1695

Oscanio Family Limited Partnership (100003)

Customer:

10000

Account:



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UNX.COM, Inc. (100013) Customer:

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Symbol

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Preview generated at: 06/19/2000 15:33:00 Pacific Daylight Time csco

Current Price Close 140 Quantity R

Uncheck 2 \$10,338.50 \$2,631.75 \$1,576.88 73.8464

Close

Market Value

\$6,129.88

Warning: Executing this order will submit orders for each asset listed in the quantities specified. The your last chance to cancel the above order. This order is not pending and will not be executed unless Expected pricing information is provided as a base approximation only. The price that your order will actually be executed at may vary widely from these figures. The FLEXII execution system is highly optimized for rapid order execution. Once submitted you will not be able to cancel this order. This is you click the Execute Order button below.

Execute: Order

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unx.com]/search

control :

Asset Search

Asset Symbol: csco

open IF position

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Customer: UNX.COM, Inc. (100013) 100026 Account:

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create gallery IF

account history

asset search

log-out

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06/16/2000

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[unx.com]/search results

Asset Search

control center

UNX.COM, Inc. (100013) Customer: Account:

Figure 12

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Close

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Gain/Loss \$311.50

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account history

open IF.

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		Total	\$5,985.25
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Click on an IF ID to display IF details.

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Open IF Order

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\$6,498.00

\$694.31

\$2,233.88

\$8,634.94 \$19,839.00 \$1,712.50 \$3,127.00 \$3,760.38 \$8,426.00

\$1,443.00

\$4,453.88 \$199,257.94

\$12,690.56

\$5,927.69 \$2,065.38 \$5,925.56 \$10,573.06 \$22,273.75

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Customer: Account:

UNX.COM, Inc. (100013) 180

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Market Value \$1,184.00 \$3,635.44 \$5,447.25

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Page 1 of 1

Figure 14

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Open IF Detail

100026 Open Account ID: Broker: Position:

Universal Network Exchange, LLC.

UNX.COM, Inc. Customer Name:

06/19/2000 15:29:13 Pacific Daylight Time 100013 Customer ID: Display Date:

(Click any row to collapse/expand children)

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10,360,50 1,560,50 6,135,44

9,877,12 1,543,38 5,683,75 2,650,00

30.00 10.00 10.00

9,647,12 1,533,38 5,673,75 2,640,00

70.3366 52.8750 63.7500 120.0000

06/32/2000 12:23:18 06/12/2000 12:21:18 06/12/2000 12:21:18 06/12/2000 12:21:18

Principal

Price

Shares

Date/Time

Name/Symbol

£

1564 Tech Trio

57710 AOL 57708 CSCO 57709 IBM

Gain/Loss 487.0b

Net Honey

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Open IF Detail

100026 Open Account ID: Position: Broker:

Universal Network Exchange, LLC.

Customer Name: Customer ID:

100013 Display Date:

UNX.COM, Inc.

06/19/2000 15:29:13 Pacific Daylight Time

1	ID Name/Symbol	ID Name/Symbol Date/Time	Shares	Price	Principal	Сошш	Fee	Net Money	CNV	Gain/Loss
3564	1564 Tech Trio	06/32/2000 32:23:38		70.3366	9,847.12	30.00	0.00	9.877.32	10,364,39	
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	6260	06/12/2000 12:21:35	89	63.7500	5-678-2	10.00	0.0	57.11.0	7	9
57709	I IBM	06/12/2000 12:21:18	เม	120.0000	2,640.00	10.00		7.450.00	1 T	(3, 75)
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Account

100000

Customer:

Oscarito Family Limited Partnership (100003)



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open SB position

create gallery SB

account history

asset search

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Account History

By \$B

Start Date:	9/20/00	
Stop Date:	9/30/00	All SBs
IF Name:	DOW10	Name Lookup
IF ID:		ID Lookup

By Asset

Start Date:		
Stop Date:	,	All Assets
Symbol:		Symbol Lookup
Asset		ID Lookup

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Figure 16a

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100000 Account:

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Oscarto Family Limited Partnership (100003) Custamer:

SB History

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control	:	9	oten near	Close Date	Buy Net/Open	Sell Nel/CMV	Gain/Loss
	Name	2	Open Date		82 399 565 39	\$400,015.09	\$449.71
open SB	<u>B2C</u>	Z197	09/28/2000	Open	4300 407 48	\$299 725 94	(\$378.24)
position	B10	2796	09/28/2000	Open	4500, 104. IS		· ;
:. :	1	9764	000282000	09/28/2000	\$74,175.00	\$72660.33	(\$1,514.67)
Create Palents	Cishor	16/7	0007127100	Onen	\$499,867.01	\$511884.67	\$12,023.66
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Account: 100000

Customer: Oscarto Family Limitec Partnership (100003)

SB History

		•					-	
ontrol	, og RN	9	Open Date	Close Date		Buy Net/Open	Sell Net/CMV	Gain/Loss
	200	97.0R	09/23/2000	Open		\$330,104.18	\$299,725.94	(\$376.24)
ipen se sosition	<u> </u>	2869	09/19/2000	09/21/2000		\$301,200.58	\$292,514.83	(\$8,685.75)
	a 5	2584	09/13/2000	09/15/2000		\$300,050.74	\$293,843.83	(\$6,203.91)
er e a t e gallery SB		9519	09/07/2000	09/08/2000	-	\$239,165.44	\$286,076.13	(\$13,089.31)
	2) 6	2479	08/30/2000	09/05/2000		\$299,663.23	\$298,273.26	(\$1,389.97)
ccount Istory	ताव ०	2426	08/28/2000	08/29/2000		\$300,553.78	\$298,169.53	(\$2,384.26)
	S	27.72	08/11/2000	08/25/2000		\$301,24Q.60	\$329,694.75	\$28,454.15
		2177	08/04/2000	08/09/2000		\$300,157.49	\$308,520.16	\$8,362.67
		7010	02747000	08/01/2000		\$300,394.14	\$292,036.81	(\$8,357.33)
100.00		2054	02/25/2000	07/27/2000		\$300,278.22	\$296,020.69	(\$4,255.53)
AND THE STATE OF T	010 010	5002	07/20/2000	07/21/2000		\$300,309.42	\$287,653.01	(\$12,616.41)
	à				Total:	\$3,303,115.50	\$3,282,571.92	(\$20,543.89)

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100000 Account

Oscarlo Family Limited Partnership (100003) Customer:

Gain/Loss \$12,023.66 \$12,023.66

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\$511,884.67 \$511,834.67

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SB History

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	Asset History		-			
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	2 60	EXDS	09/28/2000 12:53:59	405	49.3438	\$19,984.22
	109962	BNWK	09/28/2000 12:53:59	492	40.5938	\$19,972.13
	109953	NA NA	09/28/2000 12:53:59	1 7	:29.6250	\$19,962.25
	109900	RSAS	09/23/2000 12:53:59	437	45.8072	\$20,017.75
	10997	OWTI	09/23/2000 12:53:59	104	190,5000	\$19,812.00
	816501	ONIM	09728/2000 12:53:59	222	90.0006	\$19,980.00
	10001	SOOT	09/28/2000 12:53:59	284	70.2188	\$19,942.13
	1039.7	r _W A	09rz8r2000 12:53:59	285	70.1875	\$29,003.44
	109910	S S S	09/28/2000 12:53:59	306	65.1875	\$13,947.38
	4 /ESO1	HOS	09/28/2000 12:53:59	569	35.1250	\$19,986.13
	10997.5	CMG	09/28/2000 12:53:59	668	29.9063	\$19,977.38
	1039/2		09/28/2000 12:53:59	168	118.3817	\$19,888.12
	109973	NEG	09/28/2000 (2:53:59	576	34.8422	\$20,069.13
	10001		09/28/2000 72:53:59	223	89.4375	\$19,944.56
	109669	MUSE	09/28/2000 12:53:59	95	209.500)	\$19,902.50
	100067	AFCI	09/28/2000 12:53:59	525	38.0423	\$19,972.34
	103301	I XX	09/28/2000 12:53:59	470	42.5000	\$19,975.00
	109900	H H	09/28/2000 12:53:59	135	147.2500	\$19,878.75
	108960	MPVC	09/28/2000 12:53:59	421	47.8539	\$20,146.50
	108900	NA SK	09/28/2000 12:53:59	384	52.0303	\$.9,979.63
	+08801	L L L	09/28/2000 12:53:52	552	54.3125	\$29,980.50
	108862	כוור			20 1150	\$29.946.75

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10000) Account:

Oscarko Family Limited Partnership (100003) Сизтоте

Asset History

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	10501	ÓSS	09/21/2000 12:58:51	(696)	61.1480	(\$59,252.37)	2668
ealton Sa	10.30	0282	09/21/2000 07:05:43	(652)	62.0625	(\$40,464.75)	2668
			Totals:	.43		(\$1,379.63)	
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Click on an IF ID to display IF details.

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account history

create gallery SB

asset search

unx.com//move

Account:

UNX.COM, Inc. (100013) Customer:

control

Current IF: Aggressive Growth (3293)

open'IF

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Select target IF from Gallery

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Growth 10

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Gallery IFs are staged for fast execution but do not represent open positions.

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Click on an IF Name to display details.

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100026 Account:

UNX.COM, Inc. (100013) Customer:

Current IF: Aggressive Growth (3293)

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Growth 10	1345	06/29/2000	Long	CAP	NAV	\$100,000.00	Preview ∴
asket	1403	07/17/2000	Long	DLR	NAV	\$10,000.00	Preview
PSM Stocks	1302	06/27/2000	Long	CAP	NAV	\$50,000.00	Preview
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Gallery IFs are staged for fast execution but do not represent open positions.

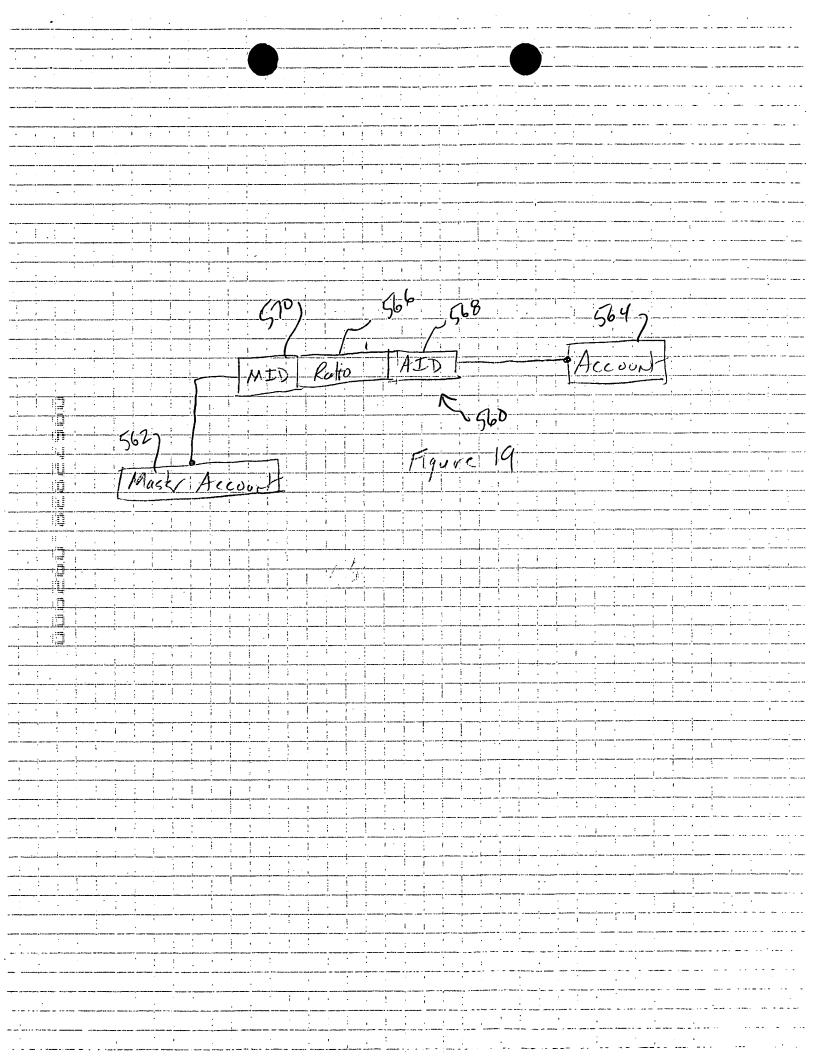
Click on an IF Name to display details.

log-out

asset search

account history

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Inx.com / subaccount contribution

View	View	Wiew	<u>SView</u>
\$65,000	\$1,235,000	\$55,000	\$85,678
Teldar Paper, Inc.	The Gecko Family	Darryl Smith	The Simpson Trust
100013	100013	100013	100013
Open	Open	Open	Open
Margin	Margin	Cash	Cash
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Account:

100026

Customer:

UNX.COM, Inc. (100013)

Open IF Position













IF Name:	Tech10
Maximum Dollar Amount:	1000000 ~ 614
Minimum Dollar Amount:	10000
Buy or Sell:	Buy 🖫 ~ 618 616
Market Order:	07,620
Limit Order:	•)
Limit Order NAV:	75.50 622
Stop:	
Stop NAV:	75.00 ~ 626
Asset Weighting:	CAP 🔻 ~ 628
Allowed Variance:	10 ~ 630

99999

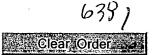
Symbols to Order (separated by spaces, commas or returns):

MSFT
INTC
DELL
CSCO
IBM
HWP
WCOM
CPQ
ORCL
SUNW

User Defined IFs must have share quantities or composition percentages following each symbol.



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UNX.COM, Inc. (100013) Customer:

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CAP

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Long

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MOD

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DLR

Long

71.500 +

CAP

Long

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1302

PSM Stocks max basket

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Short

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Wired

Preview:

Preview

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Preview Preview.

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Gallery IFs are staged for fast execution but do not represent open positions.

Click on an IF Name to display details.

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Account:

100026

Customer:

UNX.COM, Inc. (100013)

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Open IF Position













F Name:	Custom Routing
Dollar Amount:	100000
ShareThreshold:	50

Buy or Sell:

Asset Weighting:

Individual Fund Index Type:

Lot Size:

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User Defined IFs must have share quantities or composition percentages following each symbol.

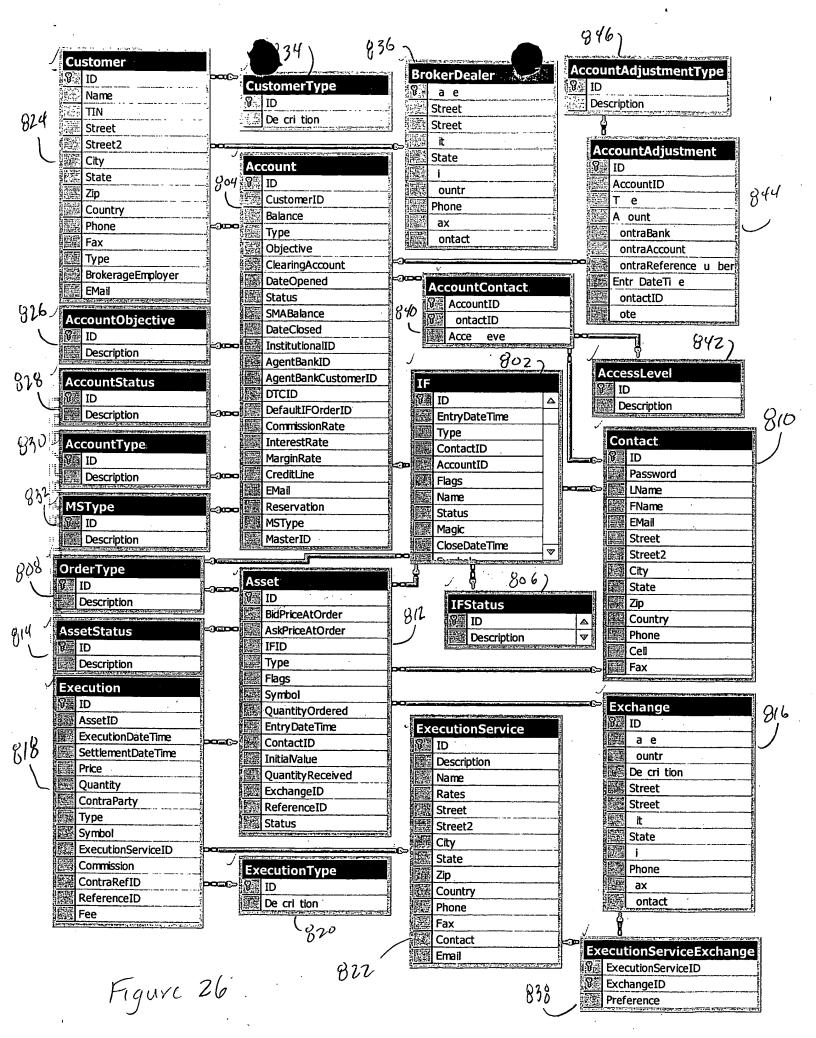




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Figure 26a

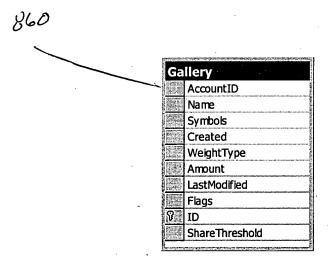


Figure 27.

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